

ATTRIBUTION CHOICES FREQUENTLY ASKED QUESTIONS

TORONTO stock
EXCHANGE



1. What are Anonymous orders?

The TSX's Participating Organizations ("PO's") each have a unique numeric identifier which is automatically included with all order and trade reports. POs now may elect – on an order-by-order basis - to withhold publication of their "Broker ID" numeric, rendering the order or trade anonymous. Such orders are tagged with the general Broker ID "001".

2. How can I place an Anonymous order at the TSX?

An Anonymous order can be placed at the TSX through existing compliant order entry devices. Specific order entry details may be reviewed with your trade station vendor.

3. What securities are eligible to be traded anonymously?

All TSX-listed securities are generally eligible to trade anonymously. The STAMP tag "AcceptAnonymous", available via the Stock Status query, describes the acceptability of Anonymous orders. Should the TSX need to disable the anonymous feature for a single stock, previously booked anonymous orders will continue to trade anonymously; however no new anonymous orders would be accepted.

4. Can I cancel or change Anonymous orders?

Yes, Anonymous orders can be cancelled (CXL) or changed (CFO).

5. Can I cancel an Anonymous trade?

Yes, you may cancel an Anonymous trade by calling the TSX's Trading Services. Trading Services will then contact the contra side of the trade to ensure that they agree to the cancellation.

6. Can I place an Anonymous short sell order?

Yes, Anonymous Short Sell orders will be accepted.

7. Can I place Anonymous MKT and MBF orders?

Yes, Anonymous MKT and MBF orders will be accepted.

8. Can I place an Anonymous cross?

Yes, the buy and sell side of the cross can be marked anonymous. Same firm booked anonymous or attributed orders will not interfere with Anonymous crosses.

9. Can I place an Anonymous Minimum Fill, Lots Of or All or None order?

Yes, these will be accepted.

10. Can I place Anonymous orders in the Pre-Open, Post-Open and the Last Sale Trading Session?

Yes, Iceberg orders will be accepted for the Open, throughout the day and for the Last Sale Trading Session.

11. Are there any size constraints for Anonymous orders?

Only Anonymous orders with boardlot volumes will be accepted.

12. Can anyone see my Anonymous orders?

Market Regulation Services Inc's surveillance systems and the TSX's Trading Services will have access to Anonymous orders and trades. Participating organizations receive a feed of their own Anonymous orders submitted to the TSX in real-time via the Toronto Broadcast Feed.

'True' broker information will be provided to CDS at the conclusion of the trading day as per current practice.

13. How does a clearing broker access trade information?

At the conclusion of the Trading day (after 5:05pm) an Anonymous Trades Query will be available at the TSX. The query will provide information to each PO pertaining to any anonymous orders executed during the day. Should a PO trade with an anonymous counterparty, the query will contain information on the identity of the contra side. This information is provided for clearing and settlement.

CALL OR EMAIL YOUR TSX MARKETS MANAGER TODAY OR TSX TRADING SERVICES TODAY.

TRADING SERVICES

TEL: 1.877.370.2000 or 416.947.HELP (4357) EMAIL: Trading_Services@tsx.ca