

**EXCHANGE FEED:** 

# **INSIGHTS** from your **EXCHANGE**

### WELCOME!



Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV) are home to a diverse array of issuers, and we were thrilled to welcome the following new listings since our last issue of the *Exchange Feed*:

### FINANCIAL SERVICES

GoldMoney Inc.*	TSX: XAU
MINING	
Era Resources Inc.	TSXV: ERX
LIFE SCIENCES	
Helius Medical Technologies, Inc.	TSX: HSM

\*Graduated from TSXV to TSX.



### **COMING SOON: FOUR-LETTER STOCK SYMBOLS**



TSX and TSXV-listed companies now have the option of reserving a four-letter stock symbol. Currently, a root stock symbol on our exchanges must consist of not more than three letters of the alphabet. We expect the four-letter symbols to commence trading by the end of the fourth quarter of 2016.

To facilitate the symbol allocation process, TSX and TSXV will accept reservation requests in writing for the new four-letter symbols during an initial allocation and reservation period that will expire on **May 20, 2016**.

#### Who Should Consider a Four-Letter Stock Symbol?

The adoption of a four-letter symbol will allow some interlisted companies, specifically those in the U.S., to use a common ticker symbol across borders, thereby reducing any potential confusion among shareholders. This could also benefit companies by unifying their investor relations management and communication efforts to enhance their brand.

### Who Is Not Eligible to Use a Four-Letter Symbol?

Issuers with multiple classes of listed securities may not be able to use four-letter root symbols. Here are some important technical considerations:

- All of an issuer's listed securities must have the same root symbol
- A suffix must be attached to the root symbol to identify specific classes of shares and securities such as preferred shares, rights, warrants or debentures and that the security trades in U.S. dollars
- The root symbol, plus the suffix must not exceed eight characters, including the period(s).

#### Steps on How to Reserve

Issuers must provide a request in writing to their Listed Issuer Services Manager. The request should include:

- The desired four-letter root symbol
- The name of the issuer
- The issuer's current symbol, if applicable
- Confirmation as to whether the four-letter symbol requested is used on a Recognized Exchange (as such term is defined in the TSX Company Manual) or OTCQX and, if so, the name of that exchange or marketplace.

We will make every effort to accommodate all requests. However, TSX and TSXV cannot guarantee the availability of a requested four-letter symbol.

To learn more about the introduction of four-letter stock symbols on TSX, please <u>click here</u> or contact your Regional Head of TSX Company Services.

# IS YOUR COMPANY SPOKESPERSON PROPERLY PREPARED?



TSX-endorsed newswire provider CNW outlines in a recent blog post some of the key elements of effective public and media relations. Above all, CNW reiterates, is sound preparation.

Here is an excerpt:

An unprepared or an untrained media spokesperson is a ticking time bomb for your brand. A spokesperson is the voice and personification of your brand. It is extremely important that they are informed, trained, prepared and knowledgeable.

Media contacts, which extends to the person listed on your news release or website, need to understand their role and be ready for what the responsibility brings.

Here are some tips to ensure your spokesperson is the best possible representation of your company: Be prepared; seek professional media training; be available; develop a message and reinforce it; speak in everyday, straightforward language; never lie; stop talking.

To read the full article, click here.

### TORONTO CORPORATE GOVERNANCE EXCHANGE, MAY 18



TSX/TSXV-listed companies are invited to join the discussion on the most important issues affecting public company directors and executives. The Toronto Corporate Governance Exchange, which is organized by the Canadian Society of Corporate Secretaries (CSCS), takes place on May 18. TMX Group is proud to be one of the sponsors.

#### **Who Should Attend?**

Corporate secretaries, general counsels, directors, institutional investors and corporate advisors.

### What Will the Conference Cover?

- How investors incorporate environmental, social, governance, and climate change issues into their investment decision-making.
- Executive and director compensation trends and best practices in compensation structure and strategy.

- Board diversity setting policies, best practices and aligning disclosure.
- Cybersecurity and the role of the directors in cybergovernance.

#### **Event Details:**

Wednesday, May 18, 8:00 AM to 1:00 PM TMX Broadcast Centre (Gallery), 130 King Street West

To Register, click here.

### **NEW APP FOR COMPANY SEARCHES**



Our new app, TSX discovery, should make it easier for investors to access information on your company. Investors can research TSX and TSXV-listed companies via multiple parameters, including sector and sub-sector, commodity type, location, and region of activity.

The app provides investors with an intuitive way to TSX and TSXVlisted companies, across all industry sectors and stages of growth, and to access customizable social media feeds to stay apprised of sector or listed company news and developments.

TSX discovery is now available via the Apple App Store. Visit www.tsxdiscovery.com to access the desktop version of the app.\*

\* Refer to the terms and conditions on the website.

### CORPORATE REPORTING: HOW DOES YOURS MEASURE UP?



Enter the annual corporate reporting awards to find out.

Now in its 65<sup>th</sup> year, the **Awards of Excellence in Corporate Reporting** is a national program offered by the Chartered Professional Accountants of Canada (CPA Canada). TSX and TSXV have been long-time sponsors and are proud to support CPA Canada's effort to highlight excellence among listed companies and promote best practices and innovations in reporting.

All entrants receive a confidential assessment from the independent panel of judges based on four categories: financial reporting; corporate governance disclosure; electronic disclosure; and sustainability reporting. The judges' comments help entrants implement year-over-year improvements. To find out more about the awards, including the entry process and judging criteria, please click here.

In 2015, judges highlighted these **best practices**:

- Providing clear comparisons on how achievements and disappointments compare to previously published goals.
- Exceeding Canadian Securities Administrators requirements, providing corporate governance disclosures that are comprehensive and transparent, particularly in areas such as strategic planning, risk management and executive compensation.
- Finding creative ways for stakeholders to access information on mobile devices and making efficient use of social media platforms.
- Putting a high priority on informing stakeholders about the socioeconomic factors and environmental limits that could constrain a company or create a business opportunity.

### **CANADIAN DEALMAKERS OF 2015 AWARDS**



*Lexpert*, Canada's leading source of news about the business of law, recently announced the Canadian Dealmakers Award winners for 2015.

Congratulations to all the winners, including the following TSX-listed companies:

Information Technology Industry Award

DH Corporation (TSX: DH) acquires Fundtech Ltd.

**IPO of the Year Award** Shopify Inc. (TSX: SH)

### Financial Industry Award

Element Financial Corp. (TSX: EFN) acquires GE Capital fleet management business

### Foreign Outbound (US) Award

SunOpta Inc. (TSX: SOY) acquires Sunrise Holdings (Delaware), Inc.

**Consumer Business Industry Award** Hudson's Bay Co. (TSX: HBC) buys Galeria Holding

**Deal Team of the Year Award** Magna International Inc. (TSX: MG)

### Foreign Outbound (Global) Award

Linamar Corp. (TSX: LNR) acquires Montupet S.A.

Media & Telecommunication Industry Award Rogers Communications Inc. (TSX: RCI) acquires Mobilicity

### Manufacturing Industry Award

New Flyer Industries Inc. (TSX: NFI) acquires Motor Coach Industries International Inc.

**2015 Canadian Bank of the Year (by Deal Volume) Award** Royal Bank of Canada (TSX: RY)

**2015 Canadian Bank of the Year (by Deal Value) Award** Bank of Montreal (TSX: BMO)

Value Creation Award Gildan Activewear Inc. (TSX: GIL)

### Mid Market Deal of the Year Award

Clearwater Seafoods Inc. (TSX: CLR) purchases Macduff Shellfish Group

Click here to read the full article.



## CDS INNOVATIONS: INFORMATION ON CANADIAN SECURITIES



The Canadian Depository for Securities (CDS) provides a number of value-added and ancillary services through CDS Innovations, the premier source of information on Canadian securities.

Here is a brief summary of products:

### **CDS Advisory Bulletins**

The Advisory Bulletins service provides issuers an additional facility to communicate extraordinary details related to pending, ongoing or completed entitlements and corporate actions. Click here for further information.

#### **CDS Professional Services**

CDS Innovations offers professional services to CDS Participants, issuers of securities eligible for deposit with CDS, and other market participants. These services include general consulting and development related primarily to securities processing at CDS. Click here for further information.

#### **CDS Special Handling**

CDS may offer CDS Participants and issuers custom services if a Participant or issuer requires CDS to process securities transactions in

a manner that does not conform to documented functionality, procedures and agreements. Such transactions will be processed as determined by CDS in consultation with the issuer or its agent and where practical, the affected Participants. In such cases, special handling fees may apply. Click here for further information.

### JOIN US AT AN UPCOMING EVENT!



### TSX Ignite

If you are an ambitious entrepreneur or managing an emerging small or medium-sized enterprise (SME), then TSX Ignite is for you. Consider joining us at one of our live events for expert guidance relevant to your growth plans.

We will soon confirm details of a Calgary event, followed by Ottawa, Montreal and Vancouver. For information visit tsxignite.com

Toronto Corporate Governance Exchange May 18 Toronto

CIRI'S 29th Annual Investor Relations Conference June 12-14 Quebec City

IIROC: Roundtable to discuss specific market structure issues affecting small-cap issuers June 13 Vancouver June 15 Toronto

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