

**Stock Volume Less Than 90,000 ---> Price Greater Than \$2
For Quarter Ending 30 Sep 2016**

<u>TICKER SYMBOL</u>	<u>NAME</u>
ACD	Accord Financial
ACO.Y	ATCO Ltd. Cl II
ALA.PR.B	AltaGas Ltd Sr B Pr
BAM.PR.E	Brookfld Asset Pr 8
BAM.PR.S	Brookfld Asset Pr 25
BPO.PR.W	Brookfld Office Pr W
BPO.PR.Y	Brookfld Office Pr Y
BPS.PR.B	Brookfld Ppty Pr 3
BPS.PR.U	Brookfld Pty Pr 1 US
BSD.PR.A	Brookfield Sndvst Pr
CAN	Horizn CdnDolr Cur A
CBN	iShares GrowCore Un
CDZ.A	iShare CdnDivAristAd
CES	1st AstCoreUSEqtC\$Un
CES.U	1st AstCoreUS\$U-H Un
CIE.A	iShare IntlFndmnt Ad
CIF.A	iShare GblInfras Adv
CIU.PR.A	CU Inc. Pr Ser 1
CIU.PR.C	CU Inc. Pr Ser 4
CJT.A	Cargojet Inc. VV
CLU.A	iShares US Fndmtl Ad
COW.A	iShares GblAgri Adv
CPD.A	iShares CdnPref Adv
CRQ.A	iShare CdnFndmntl Ad
CSD.A	iShr ShDuratn HighAd
CSY	First AsstCoreCdn Eq
CVD.A	iShares ConvBond Adv
ELF	E-L Financial Corp.
ELV	PwrShr S&P EmrgMkts
EMA.PR.B	Emera Inc. Ser B Pr
FBE	1st Asset CA Buyback
FBU	1st Asset US Buyback
FCE	1st Asset CambgCA Eq
FCY	1st AssetCambrgUS Eq
FDV	1st AsstActvCADiv Un
FDY	1st Tr AlphCdnDiv Un
FFH.U	Fairfax Fin SV USF
FHU	1stTr AlpDEX US Util
FQC	1st AstMSCI Cda Qual
FSD	1st Tr ShDurHiYld Un
FSD.A	1st Tr ShDurHiYld Ad
FUD	1st Tr AlphaUSDiv Un
GCS.PR.A	Glbl Champions Pr 1
GMP.PR.C	GMP Capital Ser C Pr
GTX	Gran Tierra Exchg J
HAB.A	Horizons CorpBnd Adv
HAL	Horizons Cdn Div E
HAU	Horizons ActvUSDiv E
HBL.UN	Brand Leaders Fnd Un
HGI.UN	Global Tel & Util Un
HHY.UN	High Rock CdnHiYld A
HXE	Horizn S&PCapEngyIdx
HXF	Horizn S&PCapFin Idx
HXH	Horizons Cdn HiDiv A
HYI.A	Horizon HighYldBndAd
IGM.PR.B	IGM Financial B Pr

INE.PR.C
KEW.UN
KFS

Innergex Renew Pr C
Kew Media A RV Un J
Kingsway Financial

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LVU.UN	Low Voltlty US Eq Un
MBN	MBN Corporation Eqty
MKB	Mackenzie CAFxIncm E
MQA.UN	Macquarie EmrgMkt Un
MRC	Morguard Corporation
MTG	Timbercreek Sr Mtg
MWD	MackenzieMaxDvr AW E
NGI.UN	NDX Growth&Incm A Un
NXF.B	1stAstEngyGiatUnhgUn
OXF	1stAsset EngyCall Un
PFU.UN	Invest GradeMngDur T
PHE	Purpose Tact Hegd Eq
PMM	Purpose Mult-StgyNeu
PWC.PR.B	PWC Capital Inc B Pr
PXF	1st Asset ProvBnd Un
QMG	Questrade RusUS MdGr
QMV	Questrade RusUS MdVl
QRT	Questr Rs1000US Tech
QSP.UN	Restaurant BrndLP Un
QXM	1stAsst MornstrNBkQC
QXM.A	1stAsst MornNBkQC Ad
RHP	RBC Quant EUDivLdHeg
RHS	RBC QuantUSEqty(C-H)
RIG	RBC QUANT GlbInfraCA
RIG.U	RBC QUANT GlbInfraUS
RON.PR.B	RONA inc Ser 7 Pr
RQD	RBC Target 16 CrpBnd
RQH	RBC Target 20 CrpBnd
RQI	RBC Target 21CorpBnd
RUD.U	RBC QntUS DivLeadUSD
RUE	RBC Quant USEqLdr Un
RWE.D	1stAst EurLR Unhg Ad
RWU	1st Ast MSCI USA Un
RWW.B	1st AstMSCI WrldUnhg
RXD	RBC Quant EmrgMktDiv
SEC	Senvest Capital Inc
SIF.UN	Strategic AllocateUn
TA.PR.E	TransAlta Ser B Pr
TCP	Trident Perform Cl A
TCZ	Trident Perform II A
TMA	Trimac Transport A
TMC	Timbercreek Mortgage
TMC.DB	Timbercreek Mtg Deb
TPU	TD S&P 500 Index Un
TRF.UN	1st Asset EngyRes Un
TRH.UN	Triax Diversfd Units
TRP.PR.I	TransCan Corp Pr 6
TXT.UN	Top 10 Split Tr Un
UNC	United Corporations
UTE.UN	Cdn Util & Tel FndUn

UXM.A	1stAstUS Div50 Ad Un
VB.PR.B	VersaBank Pr 3 J
VLN	Velan Inc. SV
VMO	Vanguard GlbMomntFac
WFC	Wall Financial Corp
XAL	iShrs AlternatePortf
XFS	iShrMSCIMultiftr USA
YXM.B	1st AstUSMmntUnhegUn
ZCH	BMO China Eq Idx ETF

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<u>TICKER SYMBOL</u>	<u>NAME</u>
ZEL	BMO Eq LnkCorpBnd Un
ZGQ	BMO MSCI AllCntryWrld
ZJN	BMO Junior Gas ETF

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VOLUME

84,755
34,588
81,202
44,570
58,873
87,984
88,371
32,882
38,032
68,174
1,328
76,637
58,899
33,994
1,389
22,511
7,797
89,507
69,906
5,335
14,396
26,666
81,626
38,158
45,660
50,955
2,677
49,788
42,082
72,100
4,650
14,143
11,050
840
58,887
17,122
2,910
9,044
61,827
13,673
11,917
58,212
72,578
27,280
14,483
27,931
75,345
25,841
52,897
45,493
45,704
75,414
13,308
77,303
73,630
77,522

80,179
22,612
88,916

VOLUME

69,889
55,283
6,676
69,674
79,196
5,740
32,849
86,153
29,408
39,684
78,976
82,315
75,226
35,800
12,306
33,600
37,044
27,263
70,132
82,831
3,280
81,788
16,848
13,866
3,500
31,904
37,859
80,920
58,219
21,569
11,081
9,395
57,933
17,499
54,961
55,103
48,928
84,423
8,700
15,100
45,706
8,664
4,000
80,625
5,187
36,352
57,535
43,806
26,545
58,473

11,665
41,777
84,317
77,690
31,959
49,220
64,949
86,938
84,733

VOLUME

2,409
52,941
37,083