

**TSX MGF FACILITY  
MGF-Eligible Trader ID AUTHORIZATION REQUEST**

**Name of Participating Organization:** \_\_\_\_\_

**Participating Organization Trading #:** \_\_\_\_\_

The Participating Organization confirms that the Trader IDs set out in the table “**Authorized Participant MGF-Eligible Trader IDs**” are either:

- (a) used to enter orders on behalf of Retail Customers<sup>1</sup> only (“**Retail Only**”); or
- (b) generally intended to be used to enter orders that are MGF-eligible in accordance with TSX Policy 4-802(1)(a)(iii) (“**Other MGF-Eligible**”).

Note that ALL MGF-ineligible orders sent through a Trader ID listed below must be marked MGF-NO.

As a reminder, note that any Trader ID that is assigned to a DEA client is MGF-ineligible UNLESS the DEA client is a broker acting as an “agent” for retail client order flow, as provided in Policy 4-802(1)(a)(iii)(2)<sup>2</sup>.

**Designated Contact for MGF-Eligible Trader IDs:**

<b>Name:</b>	<b>Title:</b>
<b>Email:</b>	<b>Phone:</b>

<sup>1</sup> “Retail Customer” has the meaning given to it in the TSX Rule Book effective Nov 27, 2017.

<sup>2</sup> Policy 4-802(1)(a)(iii) defines MGF Ineligible Orders in the TSX Rule Book effective Nov 27, 2017.

The Participating Organization requests TSX to permission the following Trader IDs as MGF-Eligible Trader IDs for entry of MGF eligible orders.

<b>Authorized Participant MGF-Eligible Trader IDs</b>				
<b>Trader name</b>	<b>Trader ID</b>	<b>Usage</b> <i>(Please check one)</i>		<b>TSX Use Only Approved</b>
		<i>Retail Only</i>	<i>Other MGF-Eligible</i>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

***Please insert additional pages if needed***

<b>Participating Organization Authorization and Declaration</b>	
The Participating Organization hereby certifies that the information provided above for the purpose of accessing the TSX MGF facility is true and correct. The undersigned is a signing officer for their respective department with authority to sign this form.	
<b>Name of Participating Organization:</b>	
<b>Business Owner</b>	<b>Compliance</b>
Name	Name
Title	Title
Signature	Signature
Date	Date