

EXCHANGE FEED:

INSIGHTS *from* your EXCHANGE

JOIN US AT AN UPCOMING EVENT!

Journey 2017

Tel-Aviv, Israel – October 25th – Interested in meeting us, contact yossi.boker@tmx.com

CDS MOVES TO T+2 SETTLEMENT



On September 11, 2017, CDS Clearing and Depository Services Inc. (CDS), Canada's national securities depository, clearing and settlement hub, successfully transitioned to a two-day settlement cycle, or T+2, from the previous three day cycle, or T+3.

Thursday, September 7, 2017 marked both the final settlement date for T+3 trades and the first settlement date for T+2 trades. The shift to T+2 is the result of the collective efforts of multiple stakeholders from across Canada's financial industry.

Timed to coincide with the same change in U.S. markets, the move to settle trades more quickly provides significant benefits to CDS's client base, enabling participants to better mitigate counterparty, market and liquidity risks by reducing both outstanding settlements and associated replacement cost risks.

T+2 also spurred an increase in overall efficiency across organizations by streamlining and automating operational processes. "CDS is proud to play a role in ushering in a new era in Canadian capital markets," said Glenn Goucher, President, CDS. "The transition to T+2 required more than two years of collaborative work and we would like to thank our clients and partners throughout the industry for helping to make

this a success. We continue to pursue new client solutions and to explore meaningful ways to enhance the value of the services CDS provides to the marketplace every day.”

UPCOMING CHANGES TO TSX SECUREFILE FORM 5 - DIVIDEND/DISTRIBUTION NOTIFICATION



TSX has introduced changes to TSX Reporting Form 5, coming into effect on November 4th, 2017.

The new filing process will enable issuers to:

- File multiple dividends using one form
- Update estimated dividend amount to the final amount
- Report a correction to previously filed information

The form will guide users through streamlined questions relevant to a particular scenario:

- Type of dividend - Regular, Occasional, Special
- Dividend being omitted, deferred or resumed

Further information regarding these changes can be found in the [TSX SecureFile User Guide and FAQ](#).

CONSIDERATIONS FOR VIRTUAL SHAREHOLDER MEETINGS



As recently announced, TSX Trust was the first Transfer Agent in Canada to run a fully virtual annual general meeting (AGM) for their client, Concordia.

The decision to move to a fully virtual meeting or even hybrid (in-person meeting with virtual option) can seem daunting.

To aid in the decision making process, below is a list of virtual meeting considerations.

By-Laws:

The first thing to consider is whether or not your company's articles and by-laws allow for a virtual meeting instead of a physical meeting.

Shareholders:

Virtual meetings increase accessibility for shareholders, as the hindrance of travel and work absence are greatly reduced. Consider where the majority of shareholders reside.

Costs:

Venue fees can be a driver in considering a virtual meeting solution. Travel expenses of company directors and shareholders should be weighed as well as the cost associated with the time away from the office for both company directors and shareholders.

Rules of Engagement:

Determining how to engage with shareholders and guests is important to do ahead of time to ensure a smooth meeting. Check that the technology platform has features to ensure the same type of interactions that are possible for in-person meetings. Consider the following:

- Format – Conference call with slides or live video webcast.
- Attendees – Guests as well as shareholders and appointees; and decisions around their level of participation.
- Voting – How shares are voted “in person”; ballot voting requirements.
- Questions – Who may ask? Are all questions shown to attendees? Are all questions answered?

Company Buy-In:

It is important that the management team is comfortable with the technology provider and agents helping with the meeting (it is worth reminding management that the concept and technology has been around for more than a decade with successful outcomes). Any lingering negative perceptions attached to virtual meetings - hiding from activists; avoiding contentious issues – are reduced if these are not matters you typically deal with at your meetings.

Fully virtual meetings can save both your company and shareholders money and time. Done right, hybrid and fully virtual meetings allow for more engagement with shareholders.

For more information on the benefits of hosting a virtual meeting please [click here](#) or contact your Relationship Manager. TSX Trust is happy to discuss virtual meeting solutions for your company’s next meeting.

2017 EXCELLENCE IN GOVERNANCE AWARDS



At the recent Governance Professionals of Canada Annual Conference (August 20-23) the shortlist of companies vying for the 2017 Excellence in Governance Awards were announced. Winners will be recognized at this year's Awards Dinner and Ceremony on November 2, 2017.

The awards underscore the critical role good governance plays in sustaining the value of Canada's public companies, crown corporations, government agencies, and not-for-profit organizations, contributing to the competitiveness of Canada's economy and its capital markets.

For more information, please [click here](#).

IR WEB CONTENT: AN EFFECTIVE INVESTOR RELATIONS TOOL



The utilization of a stock quote display or a performance chart on a company's website home page or investor relations page has the ability to convey a captivating visual message to their investor base.

TMX is seeing an influx of inquiries from issuers asking about our services; many frustrated with their use of a free service. Clients often cite issues with web updates, labour intensive implementation processes and the display of misinformation.

The solutions TMX offers gives clients the ability to customize a widget or use an application program interface, to match the style of their website. Our collection of market data tools track a variety of metrics that go beyond the share price of your security. Our products are affordable, mobile-friendly, and browser agnostic.

Please [click here](#) for more information on incorporating our web content into your company's web pages or contact your Relationship Manager to get a quote.

THE VIEW FROM THE C-SUITE: LATEST VIDEOS



The View from the C-Suite is a video interview series that highlights the unique perspectives of listed companies.

Consider using this platform to tell your inspirational business story and to recount your experience of going public. Interviews are available at no cost to TSX- and TSXV-listed issuers and are intended to help raise awareness of your business and your business goals.

Here are the latest video interviews:

Maury Marks, President & CEO
Quorum Information Technologies Inc. (TSXV: QIS)

David L. French, President & CEO
Obsidian Energy Ltd. (TSX: OBE)

Mark Hornick, President & CEO
Jamieson Wellness Inc. (TSX: JWEL)

Interviews are available at no cost and are intended to help raise awareness of TSX- and TSXV-listed companies, while also presenting objective information about their business goals.

For more information, please contact your [Relationship Manager](#).

WELCOME TO NEW ISSUERS!



TSX and TSXV are home to a diverse array of issuers, and are thrilled to welcome the following new listings from July through September:

CONSUMER PRODUCTS & SERVICES

Jamieson Wellness Inc. (TSX: JWEL)

CLEAN TECHNOLOGY

NanoXplore Inc. (TSXV: GRA)

LIFE SCIENCES

Aurora Cannabis Inc.* (TSX: ACB)
Medicenna Therapeutics Corp.* (TSX: MDNA)
National Access Cannabis Corp. (TSXV: NAC)
Scythian Biosciences Corp. (TSXV: SCYB)
Tetra Bio-Pharma Inc. (TSXV: TBP)

MINING

Agua Resourced Limited (TSXV: AGRL)
Alopex Gold Inc. (TSXV: AEX)
Ascendant Resources Inc.* (TSX: ASND)

Cardinal Resources Limited	(TSX: CDV)
Fiore Gold Inc.	(TSXV: F)
Leagold Mining Corporation*	(TSX: LMC)
New Pacific Metals Corp.	(TSXV: NUAG)
OneCap Investment Corporation	(TSXV: OIC)
Silver Viper Minerals Corp.	(TSXV: VIPR)
SolGold PLC	(TSX: SOLG)
Tethyan Resources PLC	(TSXV: TETH)
URZ Energy Corp.	(TSXV: URZ)

OIL & GAS

Storm Resources Ltd.*	(TSX: SRX)
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TECHNOLOGY

HIVE Blockchain Technologies Ltd.	(TSXV: HIVE)
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*Graduated from TSXV to TSX

TMX LEARNING ACADEMY WORKSHOPS - REGISTRATION IS OPEN

Vancouver

TSXV Mining Company Disclosure Fundamentals – November 2, 2017

Venture Filing Fundamentals – November 30, 2017

Calgary

Venture Filing Fundamentals – November 30, 2017

Toronto

Venture Filing Fundamentals – November 30, 2017

Montreal*

Venture Filing Fundamentals – November 30, 2017

*Conducted in French

ARNE GULSTENE

P/604 602-6970
M/604 585-0991
E/arne.gulstene@tmx.com

RAINA VITANOV

P/403 218-2826
M/403 919-4032
E/raina.vitanov@tmx.com

STEVEN MILLS

P/416 814-8850
M/647 967-2150
E/steven.mills@tmx.com

SOPHIE VAN HOUTTE

P/514 788-2416
M/514 743-2512
E/sophie.vanhoutte@tmx.com

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