



EQUITIES TRADING NOTICE

Quarterly List of Securities Eligible for Reduced Margin Requirement for Registered Traders

Attached is the Quarterly List of securities for the 4th Quarter of 2017 in respect of which Registered Traders are eligible for reduction in margin requirements pursuant to IIROC Regulation 100.12(f). This current list supersedes our previously published list.

Questions regarding the operation and interpretation of IIROC Regulation 100.12(f) should be addressed to Member Regulation Policy at the Investment Industry Regulatory Organization of Canada (IIROC) at 416-943-6908.

Registered Traders with questions regarding this matter should contact Victor Ciampini at 416-947-4555.