

**Stock Volume Less Than 90,000 ---> Price Greater Than \$2
For Quarter Ending 30 Sep 2018**

| <u>TICKER SYMBOL</u> | <u>NAME</u> |
|----------------------|----------------------|
| ACO.Y | ATCO Ltd. Cl II |
| ACZ.UN | Americn CoreSecDivUn |
| ADC.UN | 1st Asset CdnConv Un |
| ADW.B | Andrew Peller Ltd. B |
| AKU | Akumin Inc. J |
| ALB.PR.C | Allbanc Splt II Pr 2 |
| APY | Anglo Pacific Ord |
| AQN.PR.D | Algonquin Pwr D Pr |
| AX.PR.G | Artis REIT Ser G Pr |
| BAM.PR.E | Brookfld Asset Pr 8 |
| BAM.PR.G | Brookfld Asset Pr 9 |
| BANK | BMO Glbl BanksHegCAD |
| BB.DB.V | BlackBerry 3.75Db US |
| BBL.A | Brampton Brick A SV |
| BCE.PR.N | BCE Inc. Pr Ser AN |
| BEP.PR.E | Brookfield Renew Pr5 |
| BEP.PR.G | Brookfield Renew Pr7 |
| BGU | Bristol GateUS Eq Un |
| BIG.D | Big 8 Split Cl D Cap |
| BIR.PR.A | Birchcliff Engy Pr A |
| BIR.PR.C | Birchcliff Engy Pr C |
| BLB.UN | Bloom Select Incm Un |
| BNS.PR.C | Bank of N S Sr 23 Pr |
| BPO.PR.S | Brookfld Office Pr S |
| BPO.PR.W | Brookfld Office Pr W |
| BPO.PR.X | Brookfld Office Pr V |
| BPS.PR.A | Brookfld Ppty Pr 2 |
| BRF.PR.B | Brookfld Renew Pr 2 |
| BRF.PR.F | Brookfld Renew Pr 6 |
| BSC.PR.C | BNS Split II Pr 2 |
| CAGG | WisdomTreeCA BndNN-H |
| CAGS | WisdomTreeCA STBndNH |
| CARS | Evolve AutoInnov Heg |
| CARS.B | Evolve AutoInnovUnHg |
| CJT.A | Cargojet Inc. VV |
| CSE.PR.A | Capstone Infr Pr A |
| CTC | Canadian Tire Corp |
| CU.PR.E | Cdn Util 2nd Pr BB |
| CUP.U | Caribbean Ut Cl A US |
| CXR.U | Concordia J LV USF |
| DCC | Desjardins 1-5yrCorp |
| DCD.UN | 1st Asset Divers Un |
| DCG | Desjardins 1-5yrGovt |
| DFD | DesjardinDevexUS xCA |
| DFU | DesjardinUSMultCntrl |
| DGR | WisdomTree USDivHegd |
| DQD | Wisdom3 USDivVaryHeg |
| DSL.UN | DoubleLine Incm A Un |
| DXC | Dynamic iShr CADivUn |
| DXF | Dynamic iShr GlbFin |
| DXZ | Dynamic iShrUSMidCap |
| ELF.PR.F | E-L Financial 1st Pr |
| ELV | Invesco S&P EmrgMkts |
| ENB.PR.C | Enbridge Inc Sr C Pr |
| EQB.PR.C | Equitable Grp Pr 3 |
| EQL.F | Invesco S&P500EqCA-H |

FCCD
FCRH
FCS.UN

Fidelity Cdn Div L
Fidelity US DivRis L
Faircourt Splt Tr Un

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|----------------------|----------------------|
| FCUD | Fidelity US DivIdx L |
| FCUH | Fidelity US DivCur L |
| FDV | 1st AsstActvCADiv Un |
| FFH.PR.F | Fairfax Fin Ser F Pr |
| FFH.PR.J | Fairfax Fin Ser J Pr |
| FHD | 1stTr AlpDEX US Dscr |
| FHE | 1stTrAlphDEX US Engy |
| FHF | 1stTr AlphDEX US Fin |
| FHG.F | 1stTr AlphDEX US Heg |
| FHH | 1stTr AlpDEX US Hlth |
| FINT | 1st TrIntlCapStrngth |
| FLCI | Franklin LibCAInvGrd |
| FLDM | Franklin LibQTIntlEq |
| FLSL | FranklinLibSrLoanC-H |
| FLUS | Franklin LibQT US Eq |
| FN.PR.B | 1st Natl Fin Sr 2 Pr |
| FPR | 1st Asst Pref Shr Un |
| FQC | 1st AstMSCI Cda Qual |
| FSF | 1stAst GlbFinSctr Un |
| FSR | 1st Tr DrsyWrgtUSRot |
| FST.A | 1st Tr CdnCapStrngAd |
| FTS.PR.I | Fortis Inc.1st Pr I |
| GDL | Goodfellow Inc. |
| GRP.PR.A | Globl Res Champ Pr A |
| GTX | Gran Tierra Exchg J |
| HBG.U | Hamilton CapGlbBk US |
| HCBB | Harvest Bk&Bldg A Un |
| HCN | Horizn China DivA Un |
| HEA | Horizon IncmEq CAD E |
| HEMB | Horizons ActvEmrgBnd |
| HEUR | Harvest EuroLdr A Un |
| HFY.U | Hamilton CapGlbFinUS |
| HGC | Horizon Glb CurOpp E |
| HID | WisdomTree USHiDvHeg |
| HII | Horizon Cdn Insdr Un |
| HNY | Horizons NatGasYld E |
| HPF.U | HarvestEnergyLdr+ US |
| HRR.UN | Australian REIT A Un |
| HTA.U | HarvestTechAchGrowUS |
| HUBL | Harvest US BkLeadrUn |
| HUF | Horizons USFltgBndCA |
| HUL | HarvestUS Eqty+ A Un |
| HUL.U | HarvestUS Eq+ Un USF |
| HXE | Horizn S&PCapEngyIdx |
| HXF | Horizn S&PCapFin Idx |
| HXH | Horizons Cdn HiDiv A |
| HXQ.U | Horizon NASDAQ100 US |
| ICE | Canlan Ice Sports |
| IFC.PR.D | Intact Fin A Ser 4 |
| ILV | InvescoS&PIntl LwVol |

| | |
|----------|----------------------|
| INE.PR.A | Innergex Renew Pr A |
| INOC | Horizon InoestCdnEq |
| IQD | WisdomTreeIntlDivHeg |
| JE.PR.U | Just Energy 8.5Pr US |
| LDGR | CoinCap STOXX Blkchn |
| LIFE | Evolve GlbHlthYldHgd |
| MDS.UN | Healthcare SpecOp Un |
| MFC.PR.P | Manulife Fin Sr 4 Pr |
| MIND | Horizons AI GblEq A |

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|----------------------|----------------------|
| MINT.B | Manulfe MltDevIntUnh |
| MIVG | Mackenzie IvyGlbEq E |
| MUMC.B | Manulfe MultUSMdUnhg |
| NEW.A | Newgrowth Cl A Cap |
| NEXA | Nexa Resources S.A. |
| OLY | Olympia Fin Group |
| ONEQ | ONE Global Eqty Un |
| OPT | Optiva Inc. J SV |
| PBI | Purpose Best Ideas |
| PDV | Prime Dividend Cl A |
| PEU | Purpose Enhnc US Eq |
| PFB | PFB Corporation |
| PHYS.U | Sprott Phy Gld Un US |
| PSU.U | Purpose US Cash USF |
| PVS.PR.E | Partners Val AA Pr 7 |
| PXG | Invesco FTSE Glb+ETF |
| PXS | InvescFTSE USFnd2 CA |
| PXU.F | InvescoFTSE US CA-Hg |
| QBR.A | Quebecor Inc Cl A MV |
| QCE | Mackenzie CdnLrgCpEq |
| QCN | Mackenzie Cdn EqtyUn |
| QHY | Mackenzie USBndCAD-H |
| QIE | AGFiQ EnhCoreIntl Eq |
| QSP.UN | Restaurant BrndLP Un |
| QUS | AGFiQ EnhanCoreUS Eq |
| QUU | Mackenzie USLrgCapEq |
| RAI.UN | Real AstIncmGro A Un |
| RAV.UN | RavenSource Fund Un |
| RCD | RBC QuantCdnDiv Lead |
| RCO.UN | Middlefld Can-Glb Un |
| RPD.U | RBC Quant EU DivLdUS |
| RTU.UN | Cdn Resources Tr Un |
| RUBY | RBC US Banks Yld CAD |
| RUD.U | RBC QntUS DivLeadUSD |
| RWC | 1st AstMSCI CdaLR Un |
| RWE | 1stAst MSCI EurLR Un |
| RWE.B | 1stAst EurLR Unhg Un |
| RWU.B | 1stAstMSCI USA Unheg |
| RWW | 1st AstMSCI World Un |
| RXE | RBC QntEmrgMktEqLdr |
| RY.PR.K | Royal Bank Pr Ser AK |
| SBN | S Split Corp Cl A |
| SHZ | Sphere FTSEmrgMktSus |
| SYLD | Purpose StgyYield Un |

| | |
|----------|----------------------|
| TC | Tucows Inc. |
| TCL.B | Transcontinentl B MV |
| THNK | CoinCap STOXX BRAIN |
| THU | TD S&P500 CAD HegIdx |
| TXT.PR.A | Top 10 Split Tr Pr |
| TXT.UN | Top 10 Split Tr Un |
| UMI | WisdomTreeUS MdDivHg |
| UNC | United Corporations |
| UNG.PR.D | Union Gas 6% B Pr |
| USB.U | InvescoLddrRtO-5YrUS |
| UXM | 1stAstUS Div50 Un |
| VCB | Vanguard Cdn CorpBnd |
| VIDY | VanguardFTSE NADivY1 |
| WFC | Wall Financial Corp |
| XCG | iShares Cdn Growth |

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|----------------------|----------------------|
| XDGH | iShr CorGlbDivCA-Heg |
| XDUH | iShrCorUS DivCADHg |
| XGI | iShr SP Glbl Indstrl |
| XHU | iShr US HiDiv EqtyUn |
| XID | iShares India Idx Un |
| XMF.PR.B | M Split Corp Cl 1 Pr |
| XMS | iShr EgMinV1 USA C-H |
| XMY | iShr EgMinVolGlb C-H |
| YCM | Commerce Split Cap |
| YCM.PR.A | Commerce Split I Pr |
| YXM.B | 1st AstUSMmntUnhegUn |
| ZEUS | BMO Shiller Selct US |
| ZJN | BMO Junior Gas ETF |
| ZPH | BMO US PutWrte Hg-CA |
| ZST.L | BMO UltraSh BndAccum |
| ZUP | BMO US PrefShr CA Un |
| ZUP.U | BMO US PrefShr USD |

VOLUME

88,827
29,046
61,123
26,538
62,884
11,074
31,810
74,845
87,110
75,929
67,343
53,588
51,000
86,003
47,759
79,592
87,349
48,245
13,622
87,589
82,004
89,409
54,181
39,624
69,904
44,020
17,003
55,733
65,087
34,287
60,846
37,654
60,324
29,147
5,780
52,687
15,674
69,046
81,926
82,700
6,850
42,741
11,245
31,659
55,488
49,910
30,883
86,594
62,831
11,918
10,834
51,522
28,098
51,272
79,694
55,523

42,661
50,807
55,014

VOLUME

29,262
15,088
28,418
85,439
51,621
11,354
52,983
66,424
30,869
29,190
56,400
88,202
60,950
18,070
49,201
41,600
74,362
12,100
30,777
15,072
39,001
55,224
64,099
35,920
200
64,125
4,573
77,703
75,195
9,152
21,600
65,950
58,876
5,434
39,179
80,985
47,070
75,356
85,405
58,147
43,209
36,505
6,111
37,010
67,303
76,797
72,665
27,175
33,543
41,145

84,897
76,666
35,748
27,751
8,083
20,611
71,795
41,351
37,941

VOLUME

72,669
35,404
14,174
14,807
84,294
37,773
70,782
81,386
21,193
86,976
2,425
76,701
39,979
37,319
64,137
21,130
45,225
49,772
74,788
42,447
46,691
23,630
83,485
5,843
74,805
87,825
68,376
19,104
56,665
56,963
24,060
33,471
36,038
23,293
15,268
38,865
26,188
17,137
57,199
47,981
53,182
36,200
43,622
51,393

58,768
40,605
8,186
38,273
45,635
24,451
13,965
49,874
1,800
46,403
81,134
61,924
25,149
35,833
48,631

VOLUME

79,464
82,665
50,724
75,007
82,511
27,435
29,263
26,629
51,857
43,148
73,819
56,587
81,394
80,012
59,608
84,838
50,390