

ALPHA EXCHANGE INC.

NOTICE OF HOUSEKEEPING RULE AMENDMENT

HOUSEKEEPING AMENDMENT TO THE RULES OF ALPHA EXCHANGE INC.

Introduction

In accordance with the Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 (the “Protocol”), Alpha Exchange Inc. (“Alpha”) has adopted, and the Ontario Securities Commission has approved, an amendment (the “Amendment”) to the Alpha Trading Policy Manual (the Alpha “Rules”). The Amendment is a Housekeeping Rule under the Protocol and therefore has not been published for comment. The Ontario Securities Commission has not disagreed with the categorization of the Amendment as Housekeeping.

Reasons for the Amendment

Alpha is removing the requirement to provide Alpha Members (as defined in the Alpha Rules) with 30 days’ notice of any fee changes.

Amendment

Alpha is making the following amendment to the Alpha Rules to reflect the change to the notification requirement:

	Section of the Rulebook	Amendment
1.	Rule 3.4(2) of the Alpha Rulebook	Delete the requirement for Alpha to provide members with 30 days’ notice of fee changes

Text of the Amendments

The Amendment is set out as blacklined text at Appendix A.

Timing

The Amendment becomes effective July 4, 2019.

APPENDIX A

AMENDMENT TO THE ALPHA RULE BOOK

[...]

3.4 PAYMENT OF FEES, ETC.

[...]

(2) Repealed (f.1, 2019).

~~Alpha may at any time, and from time to time, on not less than 30 days' Notice to Members, increase any or all fees or charges. Alpha may decrease fees by providing Members with Notice of such a change within 30 days prior to the effective date of the change.~~