

**BULLETIN TYPE: Notice to Issuers BULLETIN DATE: October 10, 2019** 

Re: Form 2A Personal Information Form

Form 2C1 Declaration

**Amendments to Reduce Frequency of Filings** 

Effective immediately, TSX Venture Exchange (the "Exchange") has amended its Corporate Finance Manual (the "Manual") to reduce the frequency with which individuals (i.e., directors, officers, Promoters and other Insiders)<sup>1</sup> have to submit a Form 2A – *Personal Information Form* ("PIF") to the Exchange (the "Amendments").

The Manual previously provided that, if within 36 months of submitting a PIF, an individual is required to submit another PIF to the Exchange, such person is permitted to submit a Form 2C1 – *Declaration* ("**Declaration**") instead of a PIF. Under Amendments to the Manual, the Exchange will accept a Declaration instead of a PIF if the individual has submitted a PIF to the Exchange within the last 60 months. The Declaration asks the individual to confirm that the information contained in his or her most recently submitted PIF continues to be true and/or accurate, which is less burdensome than completing the PIF again. The Amendments will reduce the regulatory burden on Issuers by reducing the time and cost associated with having individuals complete PIFs.

Specifically, the Exchange is amending (i) the PIF, (ii) the Declaration, (iii) section 1.7 and 4.8 of Policy 2.3 – *Listing Procedures* ("**Policy 2.3**"), and (iv) section 7.7(a) of Policy 3.2 – *Filing Requirements and Continuous Disclosure* ("**Policy 3.2**") of the Manual.

The full text of the Policy 2.3 and Policy 3.2, along with blacklines to the previously existing versions of Policy 2.3 and Policy 3.2, are now available on the Exchange's website at the following page:

https://www.tsx.com/listings/tsx-and-tsxv-issuer-resources/tsx-venture-exchange-issuer-resources/tsx-venture

It should be noted that the foregoing is a summary only. The full text of the amended Policy 2.3 and Policy 3.2 should be reviewed to ascertain the full extent of the Amendments. If you have any questions about this Bulletin, please contact:

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<sup>&</sup>lt;sup>1</sup> If any of these Persons is not an individual, then the Issuer is required to submit a PIF from each director, officer and Control Person of that Person.