



## TMX Group Equity Financing Statistics – November 2019

### *Toronto Stock Exchange, TSX Venture Exchange*

December 10, 2019 (TORONTO) – TMX Group today announced its financing activity on Toronto Stock Exchange (TSX) and TSX Venture Exchange (TSXV) for November 2019.

TSX welcomed 16 new issuers in November 2019, compared with eight in the previous month and nine in November 2018. The new listings were 13 exchange traded funds, one closed-end fund, one financial services company and one mining company. Total financings raised in November 2019 decreased 66% from the previous month, and were down 88% compared to November 2018. The total number of financings in November 2019 was 31, compared with 52 the previous month and 37 in November 2018.

For additional data relating to the number of transactions billed for TSX, please click on the following link: <https://www.tmx.com/resource/en/440>

TSXV welcomed four new issuers in November 2019, compared with seven in the previous month and 13 in November 2018. The new listings were two capital pool companies and two mining companies. Total financings raised in November 2019 decreased 46% compared to the previous month, and were down 58% compared to November 2018. There were 83 financings in November 2019, compared with 119 in the previous month and 124 in November 2018.

TMX Group consolidated trading statistics for November, 2019 can be viewed at [www.tmx.com](http://www.tmx.com).

#### **Toronto Stock Exchange**

	November 2019	October 2019	November 2018
Issuers Listed	1,578	1,572	1,533
New Issuers Listed	16	8	9
IPOs	14	5	6
Graduates from TSXV	1	3	2
Issues Listed	2,231	2,231	2,187
IPO Financings Raised	\$126,750,000	\$86,250,000	\$26,758,150
Secondary Financings Raised	\$452,684,547	\$1,624,043,578	\$5,840,059,550
Supplemental Financings Raised	\$169,770,594	\$479,722,671	\$616,899,050
Total Financings Raised	\$749,205,141	\$2,190,016,249	\$6,483,716,750
Total Number of Financings	31	52	37
Market Cap Listed Issues	\$3,165,392,631,069	\$3,066,191,363,583	\$2,793,650,780,769

#### ***Year-to-date Statistics***

	2019	2018	% change
New Issuers Listed	131	127	+3.1
IPOs	105	107	-1.9
Graduates from TSXV	21	12	+75.0
IPO Financings Raised	\$1,407,064,320	\$2,708,290,329	-48.0
Secondary Financings Raised	\$16,806,975,577	\$21,308,717,941	-21.1



Supplemental Financings Raised	\$5,426,526,446	\$8,724,453,354	-37.8
Total Financings Raised	\$23,640,566,343	\$32,741,461,624	-27.8
Total Number of Financings	459	481	-4.6
Market Cap Listed Issues	\$3,165,392,631,069	\$2,793,650,780,769	+13.3

#### **TSX Venture Exchange\*\***

	November 2019	October 2019	November 2018
Issuers Listed	1,933	1,934	1,979
New Issuers Listed	4	7	13
IPOs	2	5	12
Graduates to TSX	1	3	2
Issues Listed	2,021	2,022	2,072
IPO Financings Raised	\$520,000	\$6,860,000	\$4,636,300
Secondary Financings Raised (1)	\$31,251,750	\$35,262,886	\$147,442,055
Supplemental Financings Raised	\$192,290,131	\$370,305,349	\$384,881,101
Total Financings Raised	\$224,061,881	\$412,428,235	\$536,959,456
Total Number of Financings	83	119	124
Market Cap Listed Issues*	\$41,953,150,194	\$42,965,146,639	\$47,337,900,850

#### **Year-to-date Statistics**

	2019	2018	% Change
New Issuers Listed	77	126	-38.9
IPOs	63	97	-35.1
Graduates to TSX	21	12	+75.0
IPO Financings Raised	\$53,491,709	\$63,072,612	-15.2
Secondary Financings Raised (1)	\$1,031,698,120	\$1,986,255,132	-48.1
Supplemental Financings Raised	\$2,759,633,902	\$4,576,525,159	-39.7
Total Financings Raised	\$3,844,823,731	\$6,625,852,903	-42.0
Total Number of Financings	1,226	1,452	-15.6
Market Cap Listed Issues*	\$41,953,150,194	\$47,337,900,850	-11.4

*\*Effective July 2018, the calculation of Market Capitalization for securities listed on TSX Venture Exchange will include escrow shares. Please note that comparative periods as displayed have also been recalculated.*

*\*\*Includes NEX (not applicable to New Issuers Listed, IPOs and IPO Financings Raised)*

(1) Secondary financings include prospectus offerings on both a treasury and secondary basis

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TMX Group welcomes the following companies that listed during November 2019:

#### **Toronto Stock Exchange**

<b>Issuer Name</b>	<b>Company Symbol</b>
Equinox Gold Corp.	EQX
FAX Capital Corp.	FXC
IA Clarington Floating Rate Income Fund	IFRF
IA Clarington Global Allocation Fund	IGAF
IA Clarington Strategic Income Fund	ISIF
Middlefield Global Real Asset Fund	RA.UN
TD Active Global Income ETF	TGFI
TD Active Global Real Estate Equity ETF	TGRE
TD Active U.S. High Yield Bond ETF	TUHY
TD Canadian Long Term Federal Bond ETF	TCLB
TD Income Builder ETF	TPAY
TD Q Canadian Dividend ETF	TQCD
TD Q Global Dividend ETF	TQGD
TD Q Global Multifactor ETF	TQGM
TD Q U.S. Small-Mid-Cap Equity ETF	TQSM
TD U.S. Long Term Treasury Bond ETF	TULB

#### **TSX Venture Exchange**

<b>Issuer Name</b>	<b>Company Symbol</b>
Conic Metals Corp.	NKL
Cortus Metals Inc.	CRTS.P
Shine Box Capital Corp.	RENT.P
Tectonic Metals Inc.	TECT

#### **About TMX Group (TSX:X)**

TMX Group operates global markets, and builds digital communities and analytic solutions that facilitate the funding, growth and success of businesses, traders and investors. TMX Group's key operations include [Toronto Stock Exchange](#), [TSX Venture Exchange](#), [TSX Alpha Exchange](#), [The Canadian Depository for Securities](#), [Montréal Exchange](#), [Canadian Derivatives Clearing Corporation](#), and [Trayport](#) which provide listing markets, trading markets, clearing facilities, depository services, technology solutions, data products and other services to the global financial community. TMX Group is headquartered in Toronto and operates offices across North America (Montréal, Calgary, Vancouver and New York), as well as in key international markets including London and Singapore. For more information about TMX Group, visit our website at [www.tmx.com](http://www.tmx.com). Follow TMX Group on Twitter: [@TMXGroup](https://twitter.com/TMXGroup).



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