

# TMX Operational Notice

## TMX Equities Announces Implementation Details for the IIROC Client Identifier Amendments

TMX Equities Trading is pleased to announce the implementation timeline and technical details for the Toronto Stock Exchange (“TSX”), TSX Venture Exchange (“TSXV”) and TSX Alpha Exchange (“Alpha”) to begin accepting orders from Participating Organizations that include information required under IIROC's Client Identifier Amendments.

### Implementation Timeline:

Gateway Testing Environment: **January 12, 2021**

Production Environment: **March 29, 2021**

Detailed information on this initiative can be found on the [Client Identifiers](#) section of IIROC's website and updated specifications are now available on the [TMX Webstore](#).

### Technical Details

Specifications	Tag	Field Name	Comments
FIX Order Entry	1724	OrderOrigination	“5” = DEA
	20209	BuyOrderOrigination	“6” = FDE
	20210	SellOrderOrigination	“7” = OEO
	2883	RoutingArrangementIndicator	“0” = No routing arrangement
	20211	BuyRoutingArrangementIndicator	“1” = Routing arrangement
	20212	SellRoutingArrangementIndicator	

	6750 6767 6768	TSXAccountType TSXBuyAccountType TSXSellAccountType	Existing field for type of trading account. New value of "MC" added. "MC" = Multiple Clients "BU" = Bundled (existing value)
	8025 20201 20202	CustomerAccount BuyCustomerAccount SellCustomerAccount	Account number for clients not eligible to obtain an LEI.
	8026 20203 20204	AlgorithmID BuyAlgorithmID SellAlgorithmID	Unique identifier for the end-client (orders automatically generated on a predetermined basis)
	8027 20205 20206	CustomerLEI BuyCustomerLEI SellCustomerLEI	Account number for clients eligible to obtain a LEI (including LEI of the foreign dealer). This field should be sent only by Participating Organizations.
	8028 20207 20208	BrokerLEI BuyBrokerLEI SellBrokerLEI	Account number for IIROC Dealer Member (Independent Broker).
<b>Broadcast Feed *</b>	2 8 46	AccountType BuyAccountType SellAccountType	Existing field for type of trading account. New value of "MC" added. "MC" = Multiple Clients "BU" = Bundled (existing value)
	331 339 340	CustomerAccount BuyCustomerAccount SellCustomerAccount	Account number for clients not eligible to obtain an LEI.
	332 341 342	OrderOrigination BuyOrderOrigination SellOrderOrigination	"5" = DEA "6" = FDE "7" = OEO
	333 345 346	RoutingArrangementIndicator BuyRoutingArrangementIndicator SellRoutingArrangementIndicator	"0" = No routing arrangement "1" = Routing arrangement
	334 347 348	AlgorithmID BuyAlgorithmID SellAlgorithmID	The unique identifier for the end-client (orders automatically generated on a predetermined basis).
	335 336 337	CustomerLEI BuyCustomerLEI SellCustomerLEI	Account number for clients eligible to obtain a LEI (including LEI of the foreign dealer)

			ent. This field is received encrypted participating Organizations.
	338	BrokerLEI	) IROC Dealer Member pendent Broker).
	343	BuyBrokerLEI	
	344	SellBrokerLEI	

**\*All tags mentioned above specific to the Broadcast feeds will be considered “private” and thus fully encrypted.**

For more information contact [TMX Market Operations](#) at (416) 947-4357.