

TMX ESG Template

The TMX ESG Template provides first time reporters with a simplified way to indicate where they are in their ESG journey.

For all companies, collecting and reporting on ESG topics takes time, expertise and honesty. This is why the TMX ESG Template outlines common questions found in several leading frameworks and standards, and provides standardized answers to make the information more comparable.

The TMX ESG Template does not replace any global standard or framework, but is rather a stopgap for companies who may need more time to assemble resources.

GOVERNANCE – ETHICS

1. Does the company maintain a Code of Conduct and Ethics policy?

- | | |
|--|---|
| No | Question is not material |
| Yes, but policy not disclosed externally | Question is not applicable |
| Yes, with policy externally disclosed | Information is not available at this time |

Comments

Evidence

2. Does the company maintain a Whistleblowing policy?

- | | |
|--|---|
| No | Question is not material |
| Yes, but policy not disclosed externally | Question is not applicable |
| Yes, with policy externally disclosed | Information is not available at this time |

Comments

Evidence

3. Does the company provide employees with access to an independent, external and confidential whistleblowing hotline and support function?

- | | |
|-------------------------|---|
| No | Question is not material |
| Yes, for some employees | Question is not applicable |
| Yes, for all employees | Information is not available at this time |

Comments

Evidence

4. How many times has the company been found guilty of anti-competitive offenses through a state or internationally sanctioned judicial/regulatory process?

Number (within the reporting year)

Comments

Evidence

5. Does the company maintain an Anti Corruption and Bribery policy?

- No Question is not material
- Yes, but policy not disclosed externally Question is not applicable
- Yes, with policy externally disclosed Information is not available at this time

Comments

Evidence

6. How many times has the company been found guilty of anti-corruption offenses through a state or internationally sanctioned judicial/regulatory process?

Number (within the reporting year)

Comments

Evidence

GOVERNANCE – OVERSIGHT

7. How much external training did board members receive on climate change

Average hours (within the reporting year)

Comments

Evidence

8. Has the organization appointed an executive-level position or positions, or board-level committee with responsibility for ESG topics?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

9. How frequently does the board review the companies sustainability/ESG KPIs?

- Not reviewed Monthly Question is not material
- No set frequency Quarterly Question is not applicable
- Other Frequency Annually Information is not available at this time

Comments

Evidence

10. Has your organization completed a materiality assessment, or reassessment, within the last 12 months?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

11. Do you have a set frequency for reassessment of material ESG factors?

No Assessment	Monthly	Question is not material
No Set Frequency	Quarterly	Question is not applicable
Other Frequency	Annually	Information is not available at this time

Comments

Evidence

12. Does the board or board committees consider climate-related issues when overseeing major capital expenditures, acquisitions and divestitures?

No	Question is not material
Yes, without KPIs	Question is not applicable
Yes, with KPI	Information is not available at this time

Comments

Evidence

13. Does the board specifically nominate a single named individual to be responsible for the strategy related to the company's adaptation, mitigation and remediation of the physical, liability and transition risks of climate change?

No	Question is not material
Yes	Question is not applicable
Yes, and public	Information is not available at this time

Comments

Evidence

14. Does the board formally review the strategy related to the company’s adaptation, mitigation and remediation of the physical, liability and transition risks of climate change?

- No Question is not material
- Yes, without KPIs Question is not applicable
- Yes, with KPI Information is not available at this time

Comments

Evidence

15. How frequently does the board formally review the strategy related to the company’s adaptation, mitigation and remediation of the physical, liability and transition risks of climate change?

- Quarterly Never Question is not material
- Annually Other Frequency Question is not applicable
- Monthly Information is not available at this time

Comments

Evidence

16. Has the board specifically discussed what plans and strategies can be implemented to lower carbon or other GHG emissions?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

17. Does your company have a named Sustainability Officer/Committee?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

ENVIRONMENTAL – EMISSIONS

18. Does the company maintain an Emissions Management policy?

- No Question is not material
- Yes, but policy not disclosed externally Question is not applicable
- Yes, with policy externally disclosed Information is not available at this time

Comments

Evidence

19. Total scope 1 GHG/CO2e emissions from owned or controlled sources

GHG/CO2e emissions in tonnes Direct greenhouse emissions from sources controlled or owned by your organization

Comments

Evidence

20. Total indirect energy emissions (scope 2 GHG/CO2e), from the generation of purchased energy

GHG/CO2e emissions in tonnes Indirect greenhouse emissions associated with the purchase of electricity, steam, heating or cooling

Comments

Evidence

21. Total scope 3 GHG/CO2e that occur in the value chain, including both upstream and downstream emissions

GHG/CO2e emissions in tonnes	Indirect emissions that occur in an organization’s value chain, including upstream (suppliers, vendors) and downstream (consumption) emissions.
Comments	
Evidence	

22. What are your air emissions of the following pollutants:

Carbon Monoxide (CO)	Nitrogen Oxides (NOx), excluding Nitrous Oxide (N2O)	Sulfur Oxides (SOx)
Emissions in tonnes	Emissions in tonnes	Emissions in tonnes
Particulate matter (PM10)	Manganese (MnO)	Lead (Pb)
Emissions in tonnes	Emissions in tonnes	Emissions in tonnes
Volatile organic compounds (VOCs)	Polycyclic aromatic hydrocarbons (PAHs)	Heavy metals (H2S)
Emissions in tonnes	Emissions in tonnes	Emissions in tonnes
Mercury (Hg)	Question is not material	Question is not applicable
Emissions in tonnes	Information is not available at this time	
Comments		
Evidence		

23. What volume of GHG/CO2e emissions were offset via certified offsets and/or certified projects?

GHG/CO2e emissions in tonnes
Comments
Evidence

24. Has the company been found guilty of environmental permit linked offenses through a state or internationally sanctioned judicial/regulatory process?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

25. Does the company have a policy to reduce its GHG emissions?

- No Question is not material
- Yes, without KPIs Question is not applicable
- Yes, with KPI Information is not available at this time

Comments

Evidence

26. What target reduction in GHG emissions was agreed for all business operations for the next 5 years?

GHG/CO2e emissions in tonnes

Comments

Evidence

ENVIRONMENTAL – WATER

27. Does the company maintain a Water Consumption, Efficiency and Supply policy?

No

Question is not material

Yes, but policy not disclosed externally

Question is not applicable

Yes, with policy externally disclosed

Information is not available at this time

Comments

Evidence

28. Total potable water consumption across all operations?

Water in megalitres

Comments

Evidence

29. Total non-potable water consumption across all operations?

Water in megalitres

Comments

Evidence

30. Volume of water discharged after having been recycled/reprocessed for re-use or being sent to certified storage?

Water in megalitres

Comments

Evidence

31. Volume of water discharged without having been recycled/reprocessed?

Water in megalitres

Comments

Evidence

32. Has the company been found guilty of water permit linked offenses through a state or internationally sanctioned judicial/regulatory process?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

33. Does the company evaluate water management to mitigate risks at least annually?

- No Question is not material
- Yes, without KPIs Question is not applicable
- Yes, with KPI Information is not available at this time

Comments

Evidence

34. What are the company targets for water reduction or efficiency?

Water in megalitres

Comments

Evidence

ENVIRONMENTAL – LAND

35. Does the company maintain a Land and Water Assets policy?

- | | |
|--|---|
| No | Question is not material |
| Yes, but policy not disclosed externally | Question is not applicable |
| Yes, with policy externally disclosed | Information is not available at this time |

Comments

Evidence

36. Does the company undertake a biodiversity analysis of all of its combined land assets?

- | | |
|-------------------|---|
| No | Question is not material |
| Yes, without KPIs | Question is not applicable |
| Yes, with KPI | Information is not available at this time |

Comments

Evidence

ENVIRONMENTAL – ENERGY

37. Energy consumed by the company in kWh from all energy sources?

Kilowatt hours (kWh)

Comments

Evidence

38. Energy consumed by the company in kWh from all renewable energy sources including hydrocarbons if GHGs are sequestered

Kilowatt hours (kWh)

Comments

Evidence

39. What is the target reduction in energy consumption for all business operations over the next 5 years?

Kilowatt hours (kWh)

Comments

Evidence

40. What is the target transition from non-renewable to renewable energy from externally generated sources over the next 5 years in kWh?

Kilowatt hours (kWh)

Comments

Evidence

ENVIRONMENTAL – GREEN REVENUE

41. Total revenues from all types of green goods, products and services?

Revenue in CAD – otherwise comment

Comments

Evidence

42. Energy generated by the company in kWh from all renewable energy sources including hydrocarbons if GHGs are sequestrated

Kilowatt hours (kWh)

Comments

Evidence

43. Revenue from recyclable products and/or ancillary services which through their design and/or utility reduce the following environmental issues

Climate Change

Revenue in CAD – otherwise comment

Raw Material Consumption

Revenue in CAD – otherwise comment

Environmental Erosion

Revenue in CAD – otherwise comment

Other Environmental issues

Revenue in CAD – otherwise comment

Question is not material

Question is not applicable

Information is not available at this time

Comments

Evidence

44. Revenue from Sustainable Banking and Lending for initiatives that reduce the following:

Climate Change

Revenue in CAD – otherwise comment

Raw Material Consumption

Revenue in CAD – otherwise comment

Environmental Erosion

Revenue in CAD – otherwise comment

Other Environmental issues

Revenue in CAD – otherwise comment

Question is not material

Question is not applicable

Information is not available at this time

Comments

Evidence

45. Total in-force green patents from the provision of all types of goods, products and services?

Revenue in CAD – otherwise comment

Comments

Evidence

ENVIRONMENTAL – PRODUCT SOURCING

46. Does the company have a policy focusing on the increased use of recycled or environmentally sustainable alternatives from verifiable sources?

No

Question is not material

Yes, without KPIs

Question is not applicable

Yes, with KPI

Information is not available at this time

Comments

Evidence

47. Total recycled or environmentally sustainable raw material equivalents (RME) consumption across all operations from verifiable sources?

RME in tonnes

RME is raw material equivalents

Comments

Evidence

48. Does the company work with suppliers who have not implemented structured ESG standards across their enterprise?

No

Question is not material

Yes, in some countries

Question is not applicable

Yes, in all countries

Information is not available at this time

Comments

Evidence

49. Does the company maintain a Sustainable Packaging policy?

- No Question is not material
- Yes, but policy not disclosed externally Question is not applicable
- Yes, with policy externally disclosed Information is not available at this time

Comments

Evidence

ENVIRONMENTAL – WASTE MANAGEMENT

50. Does the company maintain a Recycling and End of Life Takeback policy?

- No Question is not material
- Yes, but policy not disclosed externally Question is not applicable
- Yes, with policy externally disclosed Information is not available at this time

Comments

Evidence

51. Total solid waste generated by business activities across all operations?

Waste in tonnes

Comments

Evidence

52. Percentage of waste that is treated prior to discharge/release

% of total solid waste

Comments

Evidence

53. End of life waste being recycled/reprocessed for re-use

Waste in tonnes

Comments

Evidence

ENVIRONMENTAL – CLIMATE CHANGE

54. Does the company undertake a scenario analysis of the physical, liability and transition risks to the business that are likely to result from climate change?

- No Question is not material
- Yes Question is not applicable
- Yes, and public Information is not available at this time

Comments

Evidence

55. Does the company have an overall climate risk policy including physical risk, liability risk, transition risk?

- No Question is not material
- Yes, without KPIs Question is not applicable
- Yes, with KPI Information is not available at this time

Comments

Evidence

SOCIAL – COMMUNITY

56. Does the company have engagement strategies and/or community assessments conducted with communities and indigenous peoples?

Indigenous peoples refers a group or groups of people who are native (who lived in the region(s) before colonists or settlers arrived and began to occupy to the specific region(s)) where the company operates

- No Question is not material
- Yes Question is not applicable
- Information is not available at this time

Comments

Evidence

57. Does the company have a process to manage the rights and interests of the communities and indigenous peoples?

Indigenous peoples refers a group or groups of people who lived, or whose ancestors lived, in the region(s) before colonists or settlers arrived and began to occupy to the specific region(s) where the company operates

- No Question is not material
- Yes, but process not disclosed externally Question is not applicable
- Yes, with process externally disclosed Information is not available at this time

Comments

Evidence

58. Does the company support any specific care in the community programs that help alleviate key social issues, such as but not limited to homelessness, loneliness, urban decay, access to sport?

- No Question is not material
- Yes, without KPIs Question is not applicable
- Yes, with KPI Information is not available at this time

Comments

Evidence

SOCIAL – PRODUCT SAFETY

59. Does the company maintain a Product Safety policy?

- | | |
|--|---|
| No | Question is not material |
| Yes, but policy not disclosed externally | Question is not applicable |
| Yes, with policy externally disclosed | Information is not available at this time |

Comments

Evidence

60. Total number of products or services which have been withdrawn pre launch and post launch upon the recommendation and/or instruction of regulators or similar official bodies.

Number (within the reporting year)

Comments

Evidence

61. How many times has the company been found guilty of product safety incidents offenses through a state or internationally sanctioned judicial/regulatory process?

Number (within the reporting year)

Comments

Evidence

62. Does the company have a specific objective to reduce the number of product safety incidents?

- | | |
|-------------------|---|
| No | Question is not material |
| Yes, without KPIs | Question is not applicable |
| Yes, with KPI | Information is not available at this time |

Comments

Evidence

SOCIAL – SECURITY AND PRIVACY

63. Does the company maintain a Data Protection policy?

- | | |
|--|---|
| No | Question is not material |
| Yes, but policy not disclosed externally | Question is not applicable |
| Yes, with policy externally disclosed | Information is not available at this time |

Comments

Evidence

64. How many times has the company been found guilty of cyber and data protection offenses through a state or internationally sanctioned judicial/regulatory process?

Number (within the reporting year)

Comments

Evidence

65. Does the company have an operational infrastructure through which its design and deployment is specifically designed to protect customer and general public data and the privacy rights attached to such data?

- | | |
|-------------------|---|
| No | Question is not material |
| Yes, without KPIs | Question is not applicable |
| Yes, with KPI | Information is not available at this time |

Comments

Evidence

66. Does the company have a specific objective to reduce the number of cyber and data protection risk incidents?

- No Question is not material
- Yes, without KPIs Question is not applicable
- Yes, with KPI Information is not available at this time

Comments

Evidence

SOCIAL – DIVERSITY

67. Does the company maintain a consolidated Diversity policy?

- No Question is not material
- Yes, but policy not disclosed externally Question is not applicable
- Yes, with policy externally disclosed Information is not available at this time

Comments

Evidence

68. How many on the Main Board (or Board of Directors) identify as:

A company's main board or board of directors are individuals elected by shareholders to govern, set strategy and oversee the company's management

Members	Being disabled and/or have special needs	Women or identify as women?
Number	Number	Number

A visible minority

Number

Information is not available at this time

Comments

Evidence

69. How many on the Executive Management Team identify as:

<p>Members</p> <p>Number</p>	<p>Being disabled and/ or have special needs</p> <p>Number</p>	<p>Women or identify as women</p> <p>Number</p>
<p>A visible minority</p> <p>Number</p>		
<p>Information is not available at this time</p>		
<p>Comments</p>		
<p>Evidence</p>		

SOCIAL – EQUITY

70. Total number of people employed by the company in a full-time role?

Number (within the reporting year)

Comments

Evidence

71. Number of employees who were made redundant?

Number (within the reporting year)

Comments

Evidence

72. Number of employees who resigned from the company?

Number (within the reporting year)

Comments

Evidence

73. Number of employees who retired from the company?

Number (within the reporting year)

Comments

Evidence

74. Does the company maintain a Compensation policy?

No

Question is not material

Yes, but policy not disclosed externally

Question is not applicable

Yes, with policy externally disclosed

Information is not available at this time

Comments

Evidence

75. Average salary [including cash bonus] for all the company’s male workforce who are not board and/ or Executive Committee members?

Average salary in CAD – otherwise comment

Comments

Evidence

76. Average salary [including cash bonus] for all the company’s female workforce who are not board and or Executive Committee members?

Average salary in CAD – otherwise comment

Comments

Evidence

SOCIAL – HUMAN RIGHTS

77. How many human rights controversies regarding the company have been specifically identified in the public domain?

Number (within the reporting year)

Comments

Evidence

78. Does the company maintain an Anti-harassment and Bullying policy?

No

Question is not material

Yes, but policy not disclosed externally

Question is not applicable

Yes, with policy externally disclosed

Information is not available at this time

Comments

Evidence

79. Which of the following training for employees is provided by the company:

Anti-Corruption	No	Question is not material
	Yes	Question is not applicable
		Information is not available at this time
Anti-Trust	No	Question is not material
	Yes	Question is not applicable
		Information is not available at this time
Anti-Bullying and Harassment	No	Question is not material
	Yes	Question is not applicable
		Information is not available at this time
Data Protection	No	Question is not material
	Yes	Question is not applicable
		Information is not available at this time
KYC (Know Your Client)	No	Question is not material
	Yes	Question is not applicable
		Information is not available at this time

Comments

Evidence

80. On average, how many hours of continuous professional development (CPD) such as training and/or company sponsored external education does each employee receive?

Hours (within the reporting year)

Comments

Evidence

81. Percentage of employees represented by trade union organisations or covered/included in collective bargaining agreements?

Percentage (within the reporting year)

Comments

Evidence

82. How many were days lost to industrial action?

Number (within the reporting year)

Industrial Action may include, but is not limited to work stoppages or slow-downs like work to rule, go slow, withdrawal of labour, restriction of overtime, strikes, or lockouts

Comments

Evidence

SOCIAL – WORKPLACE SAFETY – IMPACT

83. Total near-miss incidents in the global workplace or commercial activity

Number (within the reporting year)

Comments

Evidence

84. Total employee workplace or commercial activity-linked fatalities including coroner ‘work’ attributed suicides

Number (within the reporting year)

Comments

Evidence

85. How many times has the company been found guilty of workplace or commercial activity fatality and/or injury offenses through a state or internationally sanctioned judicial/regulatory process?

Number (within the reporting year)

Comments

Evidence

86. Days lost due to work injury or absenteeism by region?

Number (within the reporting year)

Comments

Evidence

SOCIAL – WORKPLACE SAFETY – MANAGEMENT

87. Do staff receive structured first aid training on a regular basis?

- No Question is not material
- Yes, nominated staff only Question is not applicable
- Yes, all staff Information is not available at this time

Comments

Evidence

88. Does the company maintain a Health and Safety in the Workplace policy?

- No Question is not material
- Yes, but policy not disclosed externally Question is not applicable
- Yes, with policy externally disclosed Information is not available at this time

Comments

Evidence

Mining Sector – Additional Questions

ENVIRONMENTAL – MINING WASTE

89. Total mining waste generated by business activities across all operations?

Waste in tonnes

Comments

Evidence

90. Mining waste being recycled/reprocessed for re-use

Waste in tonnes

Comments

Evidence

91. Does the company have a policy to reduce its mining waste?

- No Question is not material
- Yes Question is not applicable
- Yes, and Public Information is not available at this time

Comments

Evidence

92. Does the company undertake an analysis of mining waste directly generated by its internal operations with an international standard such as ISO 14001/14044 or an equivalent?

- No Question is not material
- Yes Question is not applicable
- Yes, and Public Information is not available at this time

Comments

Evidence

93. What target reduction in mining waste was agreed for all business operations for the next 5 years?

Waste in tonnes

Comments

Evidence

94. Does the company report internally to the Board on its mining waste volumes, incidents and reduction activities?

- No Question is not material
- Yes Question is not applicable
- Yes, and Public Information is not available at this time

Comments

Evidence

95. How many times has the company been found guilty of any mining waste linked offenses through a state or internationally sanctioned judicial/regulatory process?

Number (within the reporting year)

Comments

Evidence